## A Novel Approach to the Scientific Understanding and Exploration of Consciousness

International Congress of Hypnosis 2015 Roots and Future of Consciousness

Paris, France, August 27, 2015

Dr. Joachim Keppler

#### **Introduction: Problem of Consciousness**

- Consciousness is the essence of our existence.
- Conscious awareness manifests itself in a huge variety of phenomenal qualities:
  - Sweet juicy taste of a fresh strawberry,
  - >> Fragrance of a rose,
  - Moment of happiness while viewing a colorful sunset.
- ▶ Subjective, experiential character of conscious states → qualia.
- Scientific explanation of qualia cannot be simply reduced to the physical properties of the associated brain states.
- Explanatory gap: the hard problem of consciousness.
- ▶ Challenge: development of a theory of consciousness that bridges the gap and improves our understanding of phenomenal awareness.

(Chalmers, 1995, 1996)

## Requirements for a Theory of Consciousness

- ▶ Theory of consciousness is ultimately expected to ...
  - be seamlessly integrated into the physical worldview,
  - >> correctly predict the states of consciousness that are associated with the physical states of any given system,
  - >> assign a state of consciousness to any physical state.
- ▶ Ambitious goal that can be accomplished only after a longer process of theory building.
- Guiding research questions:
  - ▶ What is the relationship between brain and consciousness?
  - What is the substrate of consciousness?
  - What is the mechanism behind conscious systems?
  - What distinguishes conscious from non-conscious systems?
  - How is the mechanism realized in the brain?
  - ▶ How can we measure the quantity of consciousness of a given system?





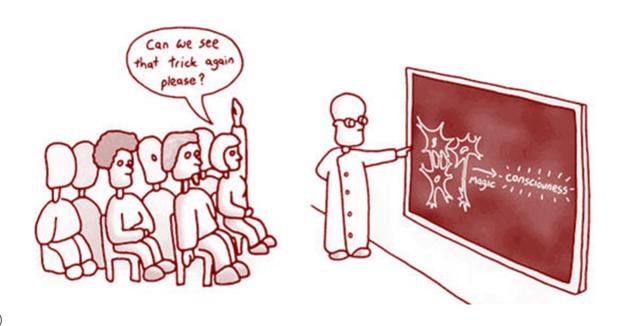






## Option 1: Brain is the Generator of Consciousness

- ▶ **Hypothesis**: consciousness arises as an emergent property of a large collection of interacting neurons and their activity patterns.
- ▶ **Challenge**: if qualia truly emerge from physical processes, this act of creation must be explicable by means of a reasonable mechanism and intelligible laws of nature.
- ▶ **Problem**: while all emergent phenomena known in physics can be explained on the basis of weak emergence, the emergence of consciousness from originally nonconscious system components requires strong or brute emergence → magic.

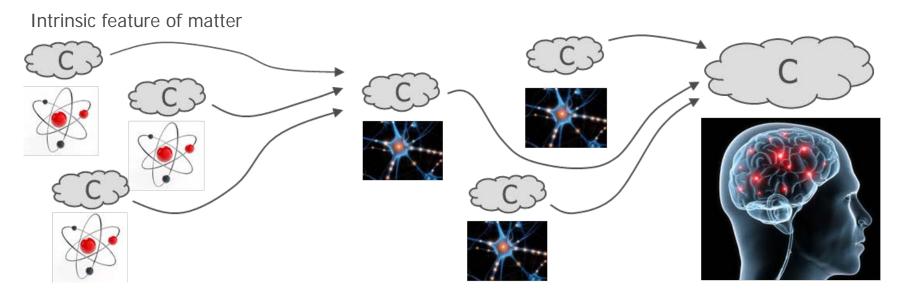


(Strawson, 2006)



## Option 2: Brain is an Assembler of Consciousness

- ▶ **Hypothesis**: brain assembles higher states of consciousness from a great number of elementary building blocks of consciousness (intrinsic feature of matter).
- ▶ **Challenge**: if there are conscious and non-conscious systems in the universe, a scientific approach has to explain the difference between the two types of systems.
- ▶ Problem: identification of a plausible aggregation mechanism that is able to explain how our rich spectrum of unified macro experiences emerges from a limited spectrum of micro experiences → combination problem of panpsychism.



(Seager, 1995; Goff, 2009; Chalmers, 2013)



## Option 3: Brain is a Filter of Consciousness

▶ **Hypothesis**: (a) full spectrum of phenomenal qualities is built into the frequency spectrum of a ubiquitous background field; (b) conscious systems employ a universal mechanism by means of which they extract phenomenal nuances from this field.

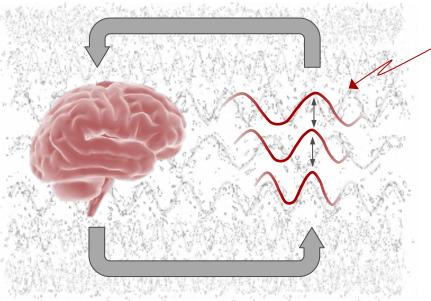
#### Challenges:

- (a) promising candidate for the background field,
- (b) appropriate mechanism,
- (c) evidence that conscious processes in the brain are based on this mechanism.









Principle of flexible coupling of selected frequencies is ideally suited to extract a huge variety of phenomenal nuances from the available phenomenal color palette and to conflate shades of phenomenal awareness into unified moments of consciousness

(Keppler, 2012, 2013, 2015)



## Zero-point Field as the Substrate of Consciousness

- Modern perspective of physics acknowledges the structure of the vacuum as the primary reality from which the properties of matter emerge as the secondary reality.
- ▶ Stochastic electrodynamics: Conception that the vacuum is imbued with permanent activity  $\rightarrow$  all-pervasive stochastic radiation field  $\rightarrow$  zero-point field (ZPF).
- ▶ ZPF is inherently complete (full spectrum of frequency components) and of stochastic nature (frequency components are entirely uncorrelated among each other).
- ▶ ZPF is qualified for playing the dual role as both the carrier of energy and consciousness.

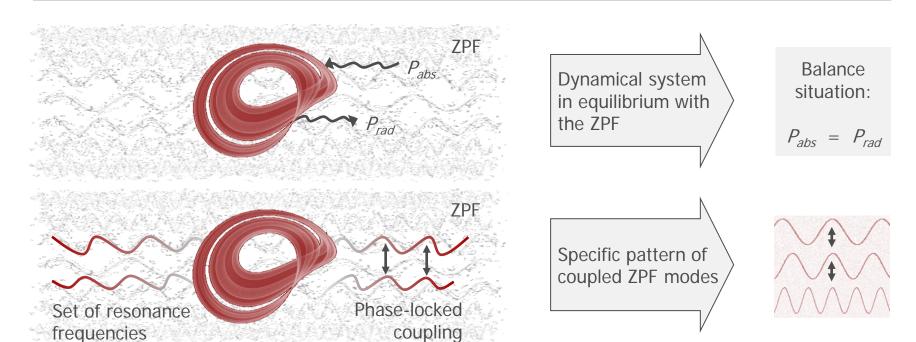
Hypothesis: ZPF is the substrate of consciousness

All shades of phenomenal consciousness are inherent in the full frequency spectrum of the ZPF

(Keppler, 2015)

## Mechanism Underlying Conscious Systems

- ▶ Electrically charged constituents of every physical system interact permanently with the ZPF, thus behaving as stochastic oscillators.
- ▶ Under appropriate conditions a balance situation can be reached → the system falls into an attractor and enters the quantum regime.
- Formation of an attractor is accompanied by a pattern of phase-locked ZPF modes.



(De la Peña and Cetto, 1994, 1995, 1996, 2001, 2006; Cetto et al., 2012; De la Peña et al., 2009, 2015)



## Interpretation of the Phase Locking Mechanism

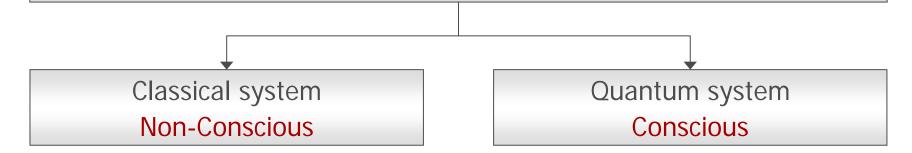
- Filtering: quantum systems filter their sets of resonance frequencies selectively out of the full ZPF spectrum → the formation of an attractor corresponds to a chord played on the keyboard of the zero-point field.
- ▶ Information: quantum systems leave characteristic fingerprints in the ZPF → the formation of an attractor imprints an information state on the ZPF.

Following the hypothesis that the ZPF is the substrate of consciousness, I posit that ...

- every ZPF information state is associated with a conscious state,
- every quantum system is a conscious system.

#### Strong argument:

Universal mechanism that provides such systems with the ability to acquire not only their physical properties, but also their phenomenal qualities.





## Realization of the Mechanism in the Brain

- Neurophysiological body of evidence supports the view that the brain has all the characteristics of a macroscopic quantum system.
- Dynamical properties of the neural correlates of consciousness can only be understood on the basis of quantum physics.

Disordered phase / unconscious phase



Irregular dynamics
Spontaneous activity
1/f scaling behavior

Brain operates near a critical point of a phase transition



Appropriate stimulus induces an abrupt phase transition

Ordered phase / conscious phase



Long-range correlations (gamma synchrony)

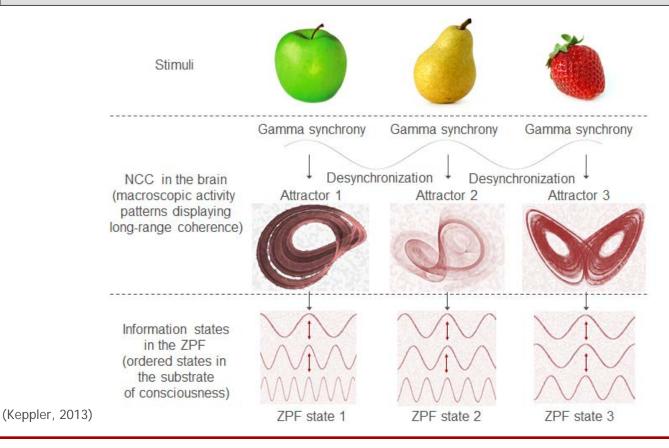
Stable attractors

(Freeman, 1991, 2004, 2005, 2007, 2009; Freeman and Vitiello, 2006, 2007)

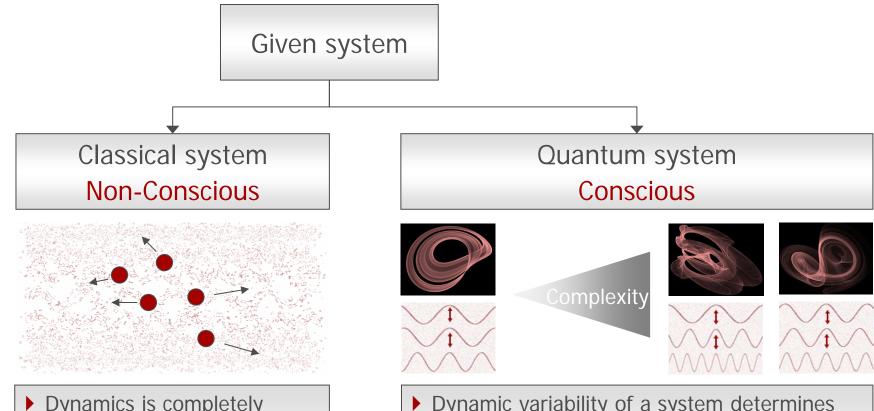


## How the Brain Produces a Stream of Consciousness

- ▶ Fundamental mechanism behind conscious processes is the recurring formation and dissolution of quantum states.
- Brain produces an individual stream of phenomenal awareness by periodically modifying the presumed substrate of consciousness.



## Quantity of Consciousness of a Given System



- Dynamics is completely independent of the ZPF
- ZPF remains unaffected
- No ordered states in the substrate of consciousness

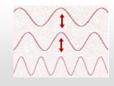
- Dynamic variability of a system determines the accessible spectrum of conscious states
- Simple systems: limited and rudimentary form of consciousness; complex systems: broad range of conscious experiences

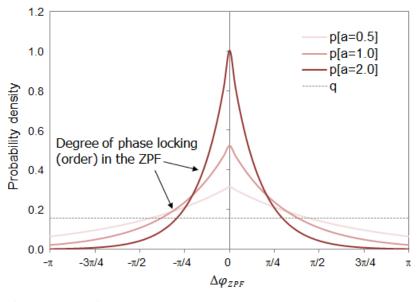


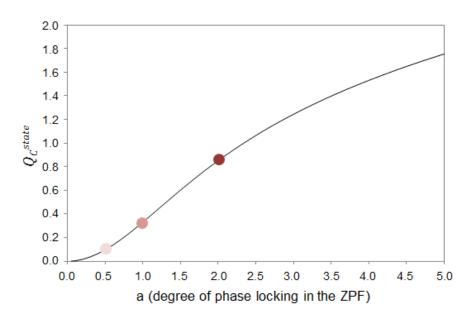
## Indicator for the Quantity of Consciousness of a State

- ▶ Natural measure for the quantity of consciousness of a state is the degree of order in the local ZPF.
- Information gain of the corresponding ZPF information state compared to the disordered initial state:

$$Q_C^{state} = \int_{-\pi}^{\pi} p(\Delta \varphi_{ZPF}) \log \frac{p(\Delta \varphi_{ZPF})}{q(\Delta \varphi_{ZPF})} d(\Delta \varphi_{ZPF})$$



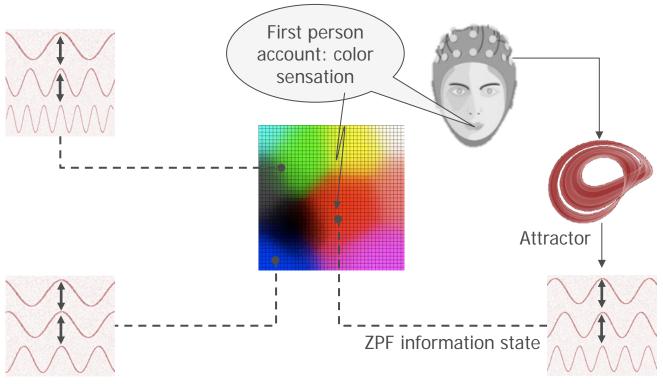




(Keppler, 2015)

#### **Outlook: Toward a Science of Consciousness**

- ▶ **Hypothesis**: qualia space can be systematically explored by classifying ZPF information space.
- ▶ **Strategy**: classification and calibration of ZPF information states on the basis of first-person accounts.



(Keppler, 2015)

#### Conclusions

- ▶ Powerful approach to tackle the problem of consciousness.
- ▶ Proposed solution is comprehensive and conceptually coherent.
- Manages very well to integrate our current knowledge about mind and matter into a consistent picture.
- ▶ Preserves the principle of causal closure and answers the question of how phenomenal awareness can be reconciled with the laws of nature.
- ▶ Specified mechanism behind conscious systems offers an explanation for the unity of phenomenal consciousness.
- ▶ Provides demarcation criteria for the domain of consciousness and sheds light on the conditions under which a given system can have conscious experiences.
- ▶ Criteria are hardware- and species-independent, making them a suitable basis for the classification of all kinds of organisms.

#### References

- Cetto, A. M., De la Peña, L., and Valdés-Hernández, A. (2012). Quantization as an emergent phenomenon due to matter-zeropoint field interaction. J. Phys. Conf. Ser. 361:012013.
- ▶ Chalmers, D. J. (1995). Facing up to the problem of consciousness. J. Consci. Stud. 2, 200–219.
- ▶ Chalmers, D. J. (1996). The Conscious Mind: In Search of a Fundamental Theory. New York: Oxford University Press.
- ▶ Chalmers, D. J. (2013). Panpsychism and panprotopsychism. *The Amherst Lecture in Philosophy* 8, 1–35.
- ▶ De la Peña, L., and Cetto, A. M. (1994). Quantum phenomena and the zeropoint radiation field. Found. Phys. 24, 917–948.
- De la Peña, L., and Cetto, A. M. (1995). Quantum phenomena and the zeropoint radiation field II. Found. Phys. 25, 573-604.
- ▶ De la Peña, L., and Cetto, A. M. (1996). The Quantum Dice: An Introduction to Stochastic Electrodynamics. Dordrecht: Kluwer Academic Publishers.
- ▶ De la Peña, L., and Cetto, A. M. (2001). Quantum theory and linear stochastic electrodynamics. Found. Phys. 31, 1703–1731.
- ▶ De la Peña, L., and Cetto, A. M. (2006). The foundations of linear stochastic electrodynamics. Found. Phys. 36, 350–368.
- ▶ De la Peña, L. et al. (2009). Quantum mechanics as an emergent property of ergodic systems embedded in the zero-point radiation field. Found. Phys. 39, 1240–1272.
- ▶ De la Peña, L., Cetto, A. M., and Valdés-Hernández, A. (2015). *The Emerging Quantum. The Physics Behind Quantum Mechanics*. Springer International Publishing.
- Freeman, W. J. (1991). The physiology of perception. *Scientific American* 264, 78–85.
- Freeman, W. J. (2004). Origin, structure, and role of background EEG activity. Part 1. Analytic Amplitude. Clin. Neurophysiol. 115, 2077–2088.
- Freeman, W. J. (2005). Origin, structure, and role of background EEG activity. Part 3. Neural frame classification. Clin. Neurophysiol. 116, 1118–1129.
- Freeman, W. J. (2007). Indirect biological measures of consciousness from field studies of brains as dynamical systems. *Neural Networks* 20, 1021–1031.
- Freeman, W. J. (2009). Deep analysis of perception through dynamic structures that emerge in cortical activity from self-regulated noise. *Cogn. Neurodyn.* 3, 105–116.
- Freeman, W. J., and Vitiello, G. (2006). Nonlinear brain dynamics as macroscopic manifestation of underlying many-body field dynamics. *Phys. Life Rev.* 3, 93–118.
- Freeman, W. J. and Vitiello, G. (2007). The dissipative quantum model of brain and laboratory observations. *Electr. J. Theor. Phys.* 4, 1–18.
- ▶ Goff, P. (2009). Why panpsychism doesn't help us explain consciousness. *Dialectica* 63, 289–311.
- Keppler, J. (2012). A conceptual framework for consciousness based on a deep understanding of matter. Philosophy Study 2, 689–703.
- ▶ Keppler, J. (2013). A new perspective on the functioning of the brain and the mechanisms behind conscious processes. Front. Psychol. 4:242.
- Keppler, J. (2015). Work in progress.
- Seager, W. E. (1995). Consciousness, information, and panpsychism. *J. Consci. Stud.* 2, 272–288.
- Strawson, G. (2006). Realistic monism: why physicalism entails panpsychism. *J. Consci. Stud.* 13, 3–31.



# DiWISS

Dr. Joachim Keppler

E-Mail joachim.keppler@diwiss.de Web www.diwiss.de/consciousness